
INTERNAL AUDIT QUARTERLY UPDATE

1.0 Summary of progress 2015/16

- 1.1 24 of the 28 items on the original plan are currently in progress. This is approximately 85% and compares with 92% for the same period in 2014/15.
- 1.2 The main factor for this variance is a reduction in resources in the quarter. The Audit Manager will provide a verbal update on this issue at the meeting.

2.0 Internal Audit 2015/16 third quarter activity update

Title	Position
Contract Procurement	In progress
National Fraud Initiative	In progress
Licensing	Complete
Icon cash receipting	Complete
Benefits	In progress
Technical Services	Complete
Community Infrastructure Levy	Complete
Leisure	In progress
Council Tax	In progress
NNDR	In progress
Customer Services	In progress
Grounds maintenance	In progress
Refuse and Recycling	In progress
Creditors	In progress
Debtors	In progress
Main Accounting	In progress
Treasury Management	In progress
Payroll	In progress
Post SFIS arrangements	In progress
Rents	In progress
Money Laundering Reporting Activity	In progress
DATA protection (SIRO)	In progress
ICT	In progress
Counter Fraud Strategy	Complete

Summary	
Work complete	4
Work in progress	20
Work not yet commenced	4
Total	28

3.0 Conclusion

- 3.1 Progress against the 2015/16 plan to date has slipped a little due to a reduction in resources. As this has occurred close to the end of the financial

year it is anticipated that internal audit coverage will still be sufficient to enable an adequate assessment of the adequacy and effectiveness of the Council's overall framework of governance, risk management and control for 2015/16.

- 3.2 The Audit Manager will be addressing this matter in accordance with established procedures and bring a further update on any implications for the 2016-17 Internal Audit Plan presented elsewhere on this agenda to the next meeting of this Committee.